5   **A SYSTEM AND PROCESS FOR CALIBRATING A MICROPHONE ARRAY**

BACKGROUND

Technical Field:

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The invention is related to the calibration of microphone arrays, and more
particularly to a system and process for self calibrating a plurality of audio
sensors of a microphone array on a continuous basis, while the array is in
operation.

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Background Art:

With the burgeoning development of sound recognition software and real-
time collaboration and communication programs, the ability to capture high
20   quality sound is becoming more and more important. Using a close-up
microphone, such as those installed on a headset, is not very convenient. In
addition, hands free sound capture with a single microphone is difficult due to
interference with reflected sound waves. In some cases frequencies are
enhanced and in others frequencies can be completely suppressed. One
25   emerging technology used to effectively capture high quality sound is the
microphone array. A microphone array is made up of a set of microphones
positioned closely together, typically in a pattern such as a line or circle. The
audio signals are captured synchronously and processed together in such an
array.

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Localization of sound sources plays important role in many audio systems
having microphone arrays. For example, finding the direction to a sound source

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is used for speaker tracking and post processing of recorded audio signals. In the context of a videoconferencing system, speaker tracking is often used to direct a video camera toward the person speaking. Different techniques have been developed to perform this sound source localization (SSL). Many of these techniques are based on beamsteering.

The beamsteering approach is founded on well known procedures used to capture sound with microphone arrays – namely beamforming. In general, beamforming is the ability to make the microphone array "listen" to a given direction and to suppress the sounds coming from other directions. Processes for sound source localization with beamsteering form a searching beam and scan the work space by moving the direction the searching beam points to. The energy of the signal, coming from each direction, is calculated. The decision as to what direction the sound source resides is based on the direction exhibiting the maximal energy. This approach leads to finding extremum of a surface in the coordinate system direction, elevation, and energy.

However, in many cases microphone arrays used for beamforming or sound source localization do not provide the estimated shape of the beam, noise suppression or localization precision. One of the reasons for this is the difference in the signal paths that is caused by differing sensitivity characteristics among the microphones and/or microphone preamplifiers that make up the array. Still further, existing beamsteering and beamforming procedures used for processing signals from microphone arrays, assume a channel match. This is problematic as even a basic algorithm as delay-and-sum procedure is sensitive to mismatches in the receiving channels. More sophisticated algorithms for beamforming are even more susceptible and often require very precise matching of the impulse response of the microphone-preamplifier-ADC (analog to digital converter) combination for all channels.

The problem is that without careful calibration a mismatch in the microphone array audio channels is hard to avoid. The reasons for the channel mismatch are mostly attributable to looseness in the manufacturing tolerances associated with microphones--even when they are of the same type. The looseness in the tolerances associated with components used in the microphone array preamplifiers introduces gain and phase errors as well. In addition, microphone and preamplifier parameters depend on external factors as temperature, atmospheric pressure, the power supply, and so on. Thus, the degree to which the channels of a microphone array match can vary as these external factors change.

The calibration of microphones and microphone arrays is well known and well studied. Generally, current calibration procedures can be an expensive and difficult task, particularly for broadband arrays. Examples of some of the existing approaches to calibrate microphones in a microphone array include the following.

In one group of calibration techniques, calibration is done for each microphone separately by comparing it with an etalon microphone in specialized environment: e.g., acoustic tube, standing wave tube, reverberationless sound camera, and so on [3]. This approach is very expensive as it requires manual calibration for each microphone, as well as specialized equipment to accomplish this task. As such, this calibration approach is usually reserved for situations calling for microphones used to take precise acoustic measurements.

Another group of existing calibration methods generally employ calibration signals (e.g., speech, sinusoidal, white noise, acoustic pulses, and chirp signals to name a few) sent from speaker(s) or other sound source(s) having known locations [4]. In reference [7], far field white noise is used to calibrate a microphone array of two microphones, where the filter parameters are calculated using a normalized least-mean-squares (NLMS) algorithm. Other works suggest

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using optimization methods to find the microphone array parameters. For example, in reference [5] the minimization criterion is the speech recognition error. Generally, the methods of this group require manual calibration after installation of the microphone array and specialized equipment to generate test

5   sounds. Thus, they too can be time consuming and expensive to accomplish. In addition, as these calibration methods are done ahead of time, they will not remain valid in the face of changes in the equipment and environmental conditions during operation.

10   Yet another group of calibration methods involve building algorithms for beamforming and sound source localization that are robust to channels mismatch, thereby avoiding the need for calibration. However, it has been found that in operation the performance and theory of most of these adaptive schemes hinge on an initial high-precision match in the array channels to provide good

15   starting point for the adaptation process [5]. This demands a careful calibration of the array elements prior to their use.

The last group of methods is the self-calibration algorithms. The general approach is described in [1]: i.e., find the direction of arrival (DOA) of a sound

20   source assuming that the microphone array parameters are correct, use DOA to estimate the microphone array parameters, and iterate until the estimates converge. Different methods attempt to estimate different ones of the microphone array parameter, such as the sensor positions, gains, or phase shifts. In additional, different techniques are employed to perform the estimation,

25   ranging from normalized mean square error minimization to complex matrix methods [2] and high-order statistical parameter estimation methods [6]. In some cases the complexity of the estimation algorithms makes them unsuitable for practical real-time implementation due to the fact that they require an excessive amount of CPU power during the normal operation of the microphone

30   array.

It is noted that in the preceding paragraphs the description refers to various individual publications identified by a numeric designator contained within a pair of brackets. For example, such a reference may be identified by reciting, "reference [1]" or simply "[1]". A listing of references including the publications corresponding to each designator can be found at the end of the Detailed Description section.

SUMMARY

The present invention is directed toward a system and process for self calibrating a microphone array that overcomes the drawbacks of existing calibration schemes. The present system and process is not CPU use intensive and is capable of providing real-time microphone array self-calibration. It is based on a simplified channel model and the projection of sensors coordinates on the direction of arrival (DOA) line, thus reducing the dimensionality of the problem and speeding up the calculations. In this way the calibration can be accomplished in what is effectively real time, i.e., while the audio signals are being processed by the main audio stream processing modules of the overall audio system.

In essence, the goal of the present microphone array self calibration system and process is to find a set of corrective gains that provide the best channel matching amongst the audio sensors of the array by compensating for the differences in the sensor parameters. More particularly, the system and process involves self calibrating a plurality of audio sensors of a microphone array by inputting a series of substantially contemporaneous audio frame sets extracted from the signals generated by at least two of the array sensors and a direction of arrival (DOA) associated with each frame set. To speed up processing in one embodiment of the invention, an audio frame set is input only if the frames represent audio data exhibiting evidence of a single dominant sound source and knowledge of its DOA.

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For each frame set, the energy of each frame in the set is computed. In addition, an approximation function is established that characterizes the relationship between the known locations of the sensors (as projected on a line representing the DOA) and their computed energy values. This function is then used to estimate the energy of each frame. In tested embodiments of the present invention, a straight line function was employed with success as the approximation function. Next, for each frame in the set under consideration, an estimated gain is computed that compensates for the difference between the computed energy of the frame and its estimated energy. Once a gain has been computed for a frame of the set currently under consideration, it can be normalized prior to applying it to the frame. More particularly, each gain can be normalized by dividing it by the average of all the gain estimates.

The estimated gain represents the aforementioned corrective gain, which when applied to the next frame from the same sensor, compensates for the differences in the array sensors and provides the desired channel matching. Thus, an iteration of the calibration is completed by applying the gain computed for each frame of the set under consideration to the next frame from the associated sensor, prior to processing the frame. The gains are then recomputed for each successive set of frames that are input to maintain the calibration of the array.

The aforementioned action of establishing the approximation function involves projecting the location of each sensor associated with an input frame onto a line defined by the DOA. This reduces the complexity of estimating the energy of each frame to a one dimensional problem. This simplification results in even faster processing times, and so quicker calibration of the array. Given the projected locations of the sensors, establishing the approximation function becomes a matter of finding the function that best characterizes the relationship between the projected locations of the sensors on the DOA line and the

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computed energy values of the frames associated with the sensors. The type of approximation function employed can be prescribed. For example, the data can be fit to a prescribed parabolic or hyperbolic function, or as in tested embodiments of the present invention, to a straight line function. The resulting function is then used to estimate the energy of each frame. It is noted that the location of the sensors is characterized in terms of a radial coordinate system with the centroid of the microphone array as its origin.

The corrective gains can also be adaptively refined each time a new set of gains is computed. This involves establishing an adaptation parameter that dictates the weight a currently computed gain is given. The refined gain is then computed as the sum of the gain multiplied by the adaptation parameter, and a refined gain computed for the immediately preceding frame input from of the same array channel as the frame used to compute the gain under consideration multiplied by one minus the adaptation parameter. This refining procedure tends to produce gains that are heavily weighted to previously computed gains, thereby reflecting the history of the gain computations, because the adaptation parameter value is chosen to be small. More particularly, in tested embodiments of the present system and process, the adaptation parameter was selected within a range between about 0.001 and 0.01. An adaptation parameter closer to 0.01 would be chosen if calibrating a microphone array operated in a controlled environment where reverberations are minimal. Whereas, an adaptation parameter closer to 0.001 is chosen if calibrating a microphone array operated in an environment where reverberations are not minimal.

The refinement procedure will result in the gain value for each channel of the array eventually converging to a relatively stable value. This being the case, it can be advantageous to suspend the self calibration procedure. More particularly, this can be accomplished by monitoring the value of each refined gain computed for a channel of the array. If the difference between the values of a prescribed number of consecutively computed refined gains, or alternately the

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values computed over a prescribed period of time, do not exceed a prescribed change threshold, then the inputting of any further frames is suspended. This suspension can be on a channel-by-channel basis, or the suspension can be imposed globally after all the channels do not exceed the prescribed change

5 threshold.

Further, the present self calibration system and process can be configured so that, whenever the inputting of further frames has been suspended for any or all array channels, at least one new audio frame is periodically extracted from the

10 signal generated by the sensor associated with a suspended array channel. It is noted that any frame extracted can be limited to one having audio data exhibiting evidence of a single dominant sound source. It is then determined if the difference between the last, previously-computed refined gain for a suspended channel and the current gain computed for that channel, exceeds the prescribed

15 change threshold. If so, inputting of further frame sets is reinitiated.

The foregoing self calibration system and process has several advantages. For example, as indicated previously the simplification of the channel model and projection of sensors coordinates on the direction of arrival

20 (DOA) line speed up the processing. Additionally, in one embodiment, audio frame sets are input only if the frames represent audio data exhibiting evidence of a single dominant sound source. This also speeds up processing and increases the accuracy of the self calibration. As a result, the calibration can be accomplished in what is effectively real time. Further, the refinement procedure

25 allows the gain values to become stable over time, even in an environment with significant reverberation, and the aforementioned calibration suspension procedure decreases the processing costs of the present system and process even more. Yet another advantage of the present invention is that since the array sensors are not manually calibrated before operational use, changing

30 conditions will not impact the calibration. For example, as microphone and preamplifier parameters depend on external factors as temperature, atmospheric

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pressure, the power supply, and so on, changes in these factors could invalidate any pre-calibration. Since the present calibration system and process continuously calibrates the microphone array during operation, changes in external factors are compensated for as they change. In addition, since changes in the microphone and preamplifier parameters can be compensated for on the fly by the present system and process, components can be replace without any significant effect. Thus, for example, a microphone can be replaced without replacing the preamplifier or manual recalibration. This is advantageous as significant portion of the cost of a microphone array is its preamplifiers.

In addition to the just described benefits, other advantages of the present invention will become apparent from the detailed description which follows hereinafter when taken in conjunction with the drawing figures which accompany it.

DESCRIPTION OF THE DRAWINGS

The specific features, aspects, and advantages of the present invention will become better understood with regard to the following description, appended claims, and accompanying drawings where:

FIG. 1 is a diagram depicting a general purpose computing device constituting an exemplary system for implementing the present invention.

FIG. 2 is a diagram showing the projection of the locations of a group of array sensors onto the DOA line.

FIG. 3 is a graph plotting the measured energy of each frame of a frame set against the location of the sensor associated with the frame, as projected onto the DOA line.

FIG. 4 is a flow chart diagramming one embodiment of a process for self calibrating a plurality of audio sensors of a microphone array, according to the present invention.

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DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

In the following description of the preferred embodiments of the present invention, reference is made to the accompanying drawings which form a part hereof, and in which is shown by way of illustration specific embodiments in which the invention may be practiced. It is understood that other embodiments may be utilized and structural changes may be made without departing from the scope of the present invention.

15 1.0 <u>The Computing Environment</u>

Before providing a description of the preferred embodiments of the present invention, a brief, general description of a suitable computing environment in which the invention may be implemented will be described. Fig. 1 illustrates an example of a suitable computing system environment 100. The computing system environment 100 is only one example of a suitable computing environment and is not intended to suggest any limitation as to the scope of use or functionality of the invention. Neither should the computing environment 100 be interpreted as having any dependency or requirement relating to any one or combination of components illustrated in the exemplary operating environment 100.

The invention is operational with numerous other general purpose or special purpose computing system environments or configurations. Examples of well known computing systems, environments, and/or configurations that may be suitable for use with the invention include, but are not limited to, personal

computers, server computers, hand-held or laptop devices, multiprocessor systems, microprocessor-based systems, set top boxes, programmable consumer electronics, network PCs, minicomputers, mainframe computers, distributed computing environments that include any of the above systems or

5   devices, and the like.

The invention may be described in the general context of computer-executable instructions, such as program modules, being executed by a computer. Generally, program modules include routines, programs, objects,

10   components, data structures, etc. that perform particular tasks or implement particular abstract data types. The invention may also be practiced in distributed computing environments where tasks are performed by remote processing devices that are linked through a communications network. In a distributed computing environment, program modules may be located in both local and

15   remote computer storage media including memory storage devices.

With reference to Fig. 1, an exemplary system for implementing the invention includes a general purpose computing device in the form of a computer 110. Components of computer 110 may include, but are not limited to, a

20   processing unit 120, a system memory 130, and a system bus 121 that couples various system components including the system memory to the processing unit 120. The system bus 121 may be any of several types of bus structures including a memory bus or memory controller, a peripheral bus, and a local bus using any of a variety of bus architectures. By way of example, and not

25   limitation, such architectures include Industry Standard Architecture (ISA) bus, Micro Channel Architecture (MCA) bus, Enhanced ISA (EISA) bus, Video Electronics Standards Association (VESA) local bus, and Peripheral Component Interconnect (PCI) bus also known as Mezzanine bus.

30   Computer 110 typically includes a variety of computer readable media. Computer readable media can be any available media that can be accessed by

computer 110 and includes both volatile and nonvolatile media, removable and non-removable media. By way of example, and not limitation, computer readable media may comprise computer storage media and communication media. Computer storage media includes both volatile and nonvolatile,

5 removable and non-removable media implemented in any method or technology for storage of information such as computer readable instructions, data structures, program modules or other data. Computer storage media includes, but is not limited to, RAM, ROM, EEPROM, flash memory or other memory technology, CD-ROM, digital versatile disks (DVD) or other optical disk storage,

10 magnetic cassettes, magnetic tape, magnetic disk storage or other magnetic storage devices, or any other medium which can be used to store the desired information and which can be accessed by computer 110. Communication media typically embodies computer readable instructions, data structures, program modules or other data in a modulated data signal such as a carrier

15 wave or other transport mechanism and includes any information delivery media. The term "modulated data signal" means a signal that has one or more of its characteristics set or changed in such a manner as to encode information in the signal. By way of example, and not limitation, communication media includes wired media such as a wired network or direct-wired connection, and wireless

20 media such as acoustic, RF, infrared and other wireless media. Combinations of the any of the above should also be included within the scope of computer readable media.

25 The system memory 130 includes computer storage media in the form of volatile and/or nonvolatile memory such as read only memory (ROM) 131 and random access memory (RAM) 132. A basic input/output system 133 (BIOS), containing the basic routines that help to transfer information between elements within computer 110, such as during start-up, is typically stored in ROM 131. RAM 132 typically contains data and/or program modules that are immediately

30 accessible to and/or presently being operated on by processing unit 120. By way

of example, and not limitation, Fig. 1 illustrates operating system 134, application programs 135, other program modules 136, and program data 137.

The computer 110 may also include other removable/non-removable, volatile/nonvolatile computer storage media. By way of example only, Fig. 1 illustrates a hard disk drive 141 that reads from or writes to non-removable, nonvolatile magnetic media, a magnetic disk drive 151 that reads from or writes to a removable, nonvolatile magnetic disk 152, and an optical disk drive 155 that reads from or writes to a removable, nonvolatile optical disk 156 such as a CD ROM or other optical media. Other removable/non-removable, volatile/nonvolatile computer storage media that can be used in the exemplary operating environment include, but are not limited to, magnetic tape cassettes, flash memory cards, digital versatile disks, digital video tape, solid state RAM, solid state ROM, and the like. The hard disk drive 141 is typically connected to the system bus 121 through an non-removable memory interface such as interface 140, and magnetic disk drive 151 and optical disk drive 155 are typically connected to the system bus 121 by a removable memory interface, such as interface 150.

The drives and their associated computer storage media discussed above and illustrated in Fig. 1, provide storage of computer readable instructions, data structures, program modules and other data for the computer 110. In Fig. 1, for example, hard disk drive 141 is illustrated as storing operating system 144, application programs 145, other program modules 146, and program data 147. Note that these components can either be the same as or different from operating system 134, application programs 135, other program modules 136, and program data 137. Operating system 144, application programs 145, other program modules 146, and program data 147 are given different numbers here to illustrate that, at a minimum, they are different copies. A user may enter commands and information into the computer 110 through input devices such as a keyboard 162 and pointing device 161, commonly referred to as a mouse,

trackball or touch pad. Other input devices (not shown) may include a joystick, game pad, satellite dish, scanner, or the like. These and other input devices are often connected to the processing unit 120 through a user input interface 160 that is coupled to the system bus 121, but may be connected by other interface

5   and bus structures, such as a parallel port, game port or a universal serial bus (USB). A monitor 191 or other type of display device is also connected to the system bus 121 via an interface, such as a video interface 190. In addition to the monitor, computers may also include other peripheral output devices such as speakers 197 and printer 196, which may be connected through an output

10   peripheral interface 195. Of particular significance to the present invention, a microphone array 192, and/or a number of individual microphones (not shown) are included as input devices to the personal computer 110. The signals from the microphone array 192 (and/or individual microphones if any) are input into the computer 110 via an appropriate audio interface 194. This interface 194 is

15   connected to the system bus 121, thereby allowing the signals to be routed to and stored in the RAM 132, or one of the other data storage devices associated with the computer 110.

The computer 110 may operate in a networked environment using logical

20   connections to one or more remote computers, such as a remote computer 180. The remote computer 180 may be a personal computer, a server, a router, a network PC, a peer device or other common network node, and typically includes many or all of the elements described above relative to the computer 110, although only a memory storage device 181 has been illustrated in Fig. 1. The

25   logical connections depicted in Fig. 1 include a local area network (LAN) 171 and a wide area network (WAN) 173, but may also include other networks. Such networking environments are commonplace in offices, enterprise-wide computer networks, intranets and the Internet.

30   When used in a LAN networking environment, the computer 110 is connected to the LAN 171 through a network interface or adapter 170. When

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used in a WAN networking environment, the computer 110 typically includes a modem 172 or other means for establishing communications over the WAN 173, such as the Internet. The modem 172, which may be internal or external, may be connected to the system bus 121 via the user input interface 160, or other

5   appropriate mechanism. In a networked environment, program modules depicted relative to the computer 110, or portions thereof, may be stored in the remote memory storage device. By way of example, and not limitation, Fig. 1 illustrates remote application programs 185 as residing on memory device 181. It will be appreciated that the network connections shown are exemplary and

10   other means of establishing a communications link between the computers may be used.

2.0   Self-Calibration

15   The exemplary operating environment having now been discussed, the remaining part of this description will be devoted to a description of the program modules embodying the invention. Generally, the system and process according to the present invention is not CPU use intensive and is capable of providing real-time microphone array self-calibration. It is based on a simplified channel

20   model and a projection of sensor coordinates on a current direction of arrival (DOA) line, thus reducing the complexity of the calibration process and speeding up the calculations. Received energy levels are interpolated with line which is used to estimate the microphone gains. The following sections provide more specifics on the present system and process.

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2.1   Channel Model And Assumptions

An audio sensor, such as those used in the previously described microphone array devices can be modeled by the following equation:

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$$b(t) = h(t) * p(t) \qquad\qquad (1)$$

where $p(t)$ is the acoustic signal input into the audio sensor, $b(t)$ is the signal generated by the sensor, and $h(t)$ is the impulse response of the sensor. The impulse response is essentially dictated by the particular electronics used in the sensor such as its pre-amplifier and microphone can vary significantly between sensors.

To simplify the model of a microphone array sensor channel it is assumed that the amplitude-frequency characteristics of the sensors have the same shape in a work band associated with the human voice (i.e., approximately $100Hz$–$8000Hz$). This is essentially true for microphones having a precision better than $\pm 1dB$ in the aforementioned working frequency band, which includes the majority of the electret-type microphones typically used in current microphone arrays. In addition, it is assumed that each microphone exhibits a slightly different sensitivity, as is usually the case. A typical sensitivity value would be $55dB\pm 4dB$ where $0dB$ is $1Pa/V$.

The foregoing assumptions allow the impulse response $h(t)$ to be characterized by a simple gain. This significantly simplifies the conversion from acoustic signal $p(t)$ to sensor signal $b_m(t)$ for the m-th channel, i.e.,

$$b_m(t) = G_m S_m A_m p(t - \Delta_m) \tag{2}$$

where S_m is the microphone sensitivity, A_m is the preamplifier gain, G_m is a corrective gain and Δ_m is the delay, specific for this channel path. This relationship includes both the delay in propagation of the sound wave and the delay in the microphone-preamplifier electronics.

According to reference [4, pp 158-160], the differences in the phase-frequency characteristics of condenser microphones in the $200Hz$–$2000Hz$ band are below 0.25 degrees, and thus can be ignored. The use of low tolerance

resistors and capacitors in the preamplifiers (e.g., typically 0.1%) provides good matching as well. As a result, the problem is simplified from equalizing the channel impulse response between the microphones of the array to a simple process of computing a corrective gain for each microphone that makes the

5 $G_m S_m A_m$ term substantially equal for each microphone. When this term is essentially equal for each microphone in the array, the array is considered as being calibrated. Establishing this set of corrective gains is then one goal of the present system and process.

10 It is further assumed that the sensor positions are known with sufficient precision to ignore any position mismatch issues, and that a DOA estimator is employed that provides results in terms of horizontal and elevation angles from the microphone array to the sound source (i.e., the DOA) when one sound source dominates (i.e., where there is only one sound source and no significant
15 reverberation).

It is also assumed that the sound propagates as a flat wave, which is a reasonable assumption when the distance to the sound source is large as compared to the size of the microphone array. The validity of this last
20 assumption will be demonstrated shortly.

2.2   Computing The Corrective Gains

Given the foregoing assumptions, the goal of the present self-calibration
25 procedure is to find a set of corrective gains G_m that provide the best channel matching by compensating for the differences in the channel parameters.

Consider an array of M microphones with given position vectors $\vec{p}$ and a centroid at the origin of the coordinate system. If a single sound source at
30 position $c = (\varphi, \theta, \rho)$ is assumed, where φ is the horizontal angle, θ is the elevation angle and ρ is the distance, the sensors spatially sample the signal

field at locations $p_m = (x_m, y_m, z_m) : m = 0, 1, \cdots, M - 1$. This yields a set of signals that is denoted by the vector $\vec{b}(t, \vec{p})$. The received energy in a noiseless and reverberationless environment from each sensor is as follows:

$$E_m = \int |b_m(t, p_m)|^2 \, dt \approx \frac{P}{\|c - p_m\|^2} , \qquad (3)$$

where $\|c - p_m\|$ denotes the Euclidian distance between the sound source and the corresponding sensor, and P is the sound source energy. In cases where ambient noise and reverberations are present, their energy can be added to each channel. For simplicity, environmental factors such as air density, and the like, which cause energy decay, are ignored. In applications such as calibrating a microphone array being used in a conference room, these environmental factors are usually negligible anyway.

As mentioned previously, it is assumed that a conventional DOA estimator is employed to perform sound source localization and provide the direction of arrival, i.e., the horizontal angle φ and the elevation angle θ. Any conventional DOA estimation technique can be used to find the direction to the sound source. In tested versions of the present microphone array calibration system and process, a conventional beamsteering DOA estimation technique was employed, such as the one described in a co-pending U.S. Patent application entitled "A System & Process For Sound Source Localization Using Microphone Array Beamsteering", which was filed June 16, 2003, and assigned Serial Number 10/462,324. It is also noted that the DOA estimate is only used when it is also determined that one sound source (e.g., a speaker) is active and dominant over the noise and reverberation. This information is also obtained using any appropriate conventional method such as the one described in the aforementioned co-pending application. Eliminating all but the DOA estimates most likely to point to a single sound source minimizes the computation needed

to maintain the calibration of the microphones and ensures a high degree of accuracy. In tested embodiments this meant the calibration procedure was implemented from 0.5 to 5 times per second and only when someone was talking. As such the present calibration process can be considered a real time process.

Given the sound source direction, the sensor coordinates 200 are projected onto the DOA line 202, as illustrated in Fig. 2. This changes the coordinate system from three dimensions to one dimension. In this coordinate system each sensor has position:

$$d_m = \rho_m \cos(\varphi - \varphi_m)\cos(\theta - \theta_m),\qquad (4)$$

where $(\rho_m, \varphi_m, \theta_m)$ are the sensor's coordinates in terms of a radial coordinate system with the centroid of the microphone array as its origin. Thus:

$$\rho_m = \sqrt{x_m^2 + y_m^2 + z_m^2}, \quad \varphi_m = \arctan\left(\frac{x_m}{y_m}\right), \quad \theta_m = \arctan\left(\frac{z_m}{\sqrt{x_m^2 + y_m^2}}\right).$$

A flat wave is assumed due to the absence of distance estimation from the array to the sound source. Fig. 3 is a graph showing an example of what the measured energies for each sensor of the microphone array might look like plotted for each of the locations of the sensors in terms of the new coordinate system. Theoretically, the energy would decrease in proportion to the square of the distance that the sensor is from the sound source. However, noise and reverberation skew this relationship. It is possible though to approximate the relationship between energy and distance using an appropriate approximation function, such as a parabolic or hyperbolic function, or any other function that tends to fit the data well. It is noted that in tested embodiments of the present system and process, a straight line function was employed with success. More, particularly, the relationship between energy and distance is approximated as a

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straight line 300 interpolated from the measured energy values for each sensor, as shown in Fig. 3. The new coordinate system allows the measured energy levels in each channel, which are defined as:

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$$E_m = \frac{1}{N} \sum_{k=0}^{N-1} b_m (kT)^2 ,$$ (5)

where N is the number of samples taken from a captured audio frame and T is the sampling period, to be interpolated as with a straight line:

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$$\tilde{E}(d) = a_1 d + a_0 ,$$ (6)

where a_1 and a_0 are such that they satisfy the Least Means Squares requirement:

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$$\min \left(\sum_{i=0}^{M-1} (\tilde{E}(d_i) - E_i)^2 \right).$$ (7)

In order to stabilize the calibration system and process, if the coefficient a_1 is computed to be less than zero, then it is set to zero and the other coefficient a_0 is set to be equal to the average energy of all the channels. This stabilization

20   procedure is performed rather than just discarding the current frame set because when there are initially large differences in the microphone sensitivities this averaging will speed the gain convergence process that will be described shortly.

At this point the measured energy E_m and the estimated energy $\tilde{E}(d_m)$ for

25   each channel are available. If the assumption is made that any difference between a measured energy and the estimated energy computed using Eq. (6) is due to the characteristic parameters of the microphone, then a gain can be

computed which will compensate for this difference. More particularly, the estimated gain g_m is computed as:

$$g_m = G_m^{n-1} \sqrt{\frac{E_m}{\tilde{E}(d_m)}} , \tag{8}$$

where G_m^{n-1} is the last gain computed for the channel under consideration (and where the initial values of G_m^{n-1} is set equal to 1).

In order to keep the average gain of the microphone array close to 1, the gains of each channel can be normalized. To this end, the corrective gains computed via Eq. (8) can be normalized such that the sum of the gains computed for each sensor divided by the number of sensor equals 1, i.e.,

$$\frac{1}{M} \sum_{m=0}^{M-1} G_m^n = 1 \tag{9}$$

where M is the total number of sensors in the microphone array, G_m^n is the normalized gain for the mth sensor for the audio frame n currently under consideration. The normalized gain G_m^n for each sensor is computed by multiplying the gain computed for that sensor by a normalization coefficient. Namely,

$$G_m^n = k g_m^n \tag{10}$$

where k is the normalization coefficient which is computed as:

$$k = \frac{1}{\frac{1}{M} \sum_{m=0}^{M-1} g_m^n} . \tag{11}$$

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The present calibration system and process can be further stabilized by discarding the current frame set if the normalized gains are outside a prescribed range of acceptable gain values tailored to the manufacturing tolerances of the microphones used in the array. For example, in tested embodiments of the present invention, the computed gain for each channel of the array had to be within a range from 0.5 to 2.0. If not, the computed gains were discarded.

The normalized gains will still be susceptible to variation due to reverberation in the environment. One way to handle this is to average the effects of reverberation over time with the goal of minimizing its impact on the corrective gain. More particularly, the final sensor gain for each sensor for the audio frame under consideration is computed as:

$$G_m^n = (1-\alpha)G_m^{n-1} + \alpha G_m,$$ (12)

where G_m^{n-1} is the gain computed for the mth sensor in the last frame to be considered, G_m^n is the new normalized gain value the mth sensor, and α is adaptation parameter. The adaptive coefficient α is selected in view of the environment in which the present microphone array calibration system and process is operating. For example, it has been found that an adaptive coefficient α generally ranging between about 0.001 and 0.01 would be an appropriate choice. More particularly, in a controlled environment where reverberation is minimized, an adaptive coefficient near to 0.01 would be chosen. While the final sensor gain will still be heavily weighted to the gain computed for the last frame process a relatively greater portion is attributable to the newly computed gain in comparison to using a smaller coefficient value. In real world situations where reverberation can be a substantial influence, an adaptation coefficient nearer to 0.001 would be chosen, thereby giving an even greater weight to the previously computed gain value. Over time the gain value should stabilize as the

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reverberation influence, which may significantly affect a gain value computed for a particular audio frame, will cancel out, leaving a more accurate gain value. In tested embodiments operated in a controlled environment using an adaptation coefficient of approximately 0.01, and a frame rate (after eliminating frames not exhibiting a single dominate sound source) amounting to about 10 frames per second, the gain value converged after about 6 minutes. It will take longer for the gain to converge if a smaller adaptation coefficient is employed, but for real world applications the gain will exhibit less drift.

2.3 Error Analysis

In the projection of microphone coordinates on the DOA line it was assumed the sound propagated as a flat wave. The relative error in the estimated energy due to this flat wave assumption is given by:

$$\varepsilon_{FW} = 1 - \frac{1}{\sqrt{1 - \left(\dfrac{l_m}{2d_m}\right)}}, \tag{13}$$

where ε_{FW} is the relative error, l_m is microphone array size and d_m is the distance to the sound source. In tested embodiments of the present system and process, the microphone array had eight equidistant sensors arranged in a circular pattern with a diameter of 14 centimeters. Thus, the array had a size of 0.14 meters. In addition, the working distance to the speaker was typically between about 0.8 and 2.0 meters (e.g., a conference room environment). The relative error for this distance range is shown in Table 1. In addition, Table 1 shows the error caused by approximating the relationship between energy and distance as a straight line interpolated from the measured energy values for each sensor, as described above.

Table 1

Distance to Sound Source (m)	0.8	1.0	1.5	2.0
Flatwave error (%)	0.385	0.246	0.109	0.061
Interpolation error (%)	0.252	0.161	0.071	0.040

The errors introduced by the present self-calibration system and process are small in comparison to the overall calibration error. For example, a maximum of about only 0.6 percent is attributable to the present system and process at a distance to the sound source of 0.8 meters. In experiments with the present system and process it was found that the overall calibration error rate was about 5.0 percent. Thus, the error contributions from other factors, such as reverberation, the signal-to-noise ratio and DOA estimation error, are much higher. Namely, from the overall 5% relative error, to which calibration process converges, only 0.6% or less is due to the present system and process (at least for the sound source-to-microphone array distance range associated with Table 1).

In regards to the overall error of 5.0 percent it is noted that this resulted from the use of an adaptation coefficient of 0.01. It is believed that using a smaller coefficient (such as about 0.001) would result in the overall error decreasing to something on the order of 1.0 percent.

3.0   Implementation

The present self-calibration process is realized as separate thread, working in parallel with the main audio stream processing associated with a microphone array. One implementation of this self-calibration process will now be described.

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As stated previously, any conventional DOA estimator is used to provide an estimate of the direction of a sound source in terms of the horizontal and elevation angles from the microphone array to the sound source. This is done on a frame by frame basis (e.g., 23.22ms frames represented by 1024 samples

5   of the sensor signal that was sampled at a 44.1kHz sampling rate), with any frame set that does not exhibit evidence of a single, dominant sound source being eliminated prior to or after computing the DOA. Thus, referring to Fig. 4, the present self-calibration process starts with inputting a substantially contemporaneous, non-eliminated audio frame for each channel (or at least two),

10   as well as the DOA associated with these frames (process action 400). It is noted that computing the DOA of frames exhibiting a single dominant sound source is often a procedure that is required for the aforementioned main audio stream processing, such as when it is desired to ascertain the location of a speaker. In such cases, no additional processing would be needed to implement

15   the present invention in this regard.

Whenever a set of audio frames and their associated DOA are input, the energy of each frame is computed (process action 402). In one embodiment, this is accomplished as described previously using Eq. (5) and the audio frame

20   captured from that sensor. Next, the location associated with each of the sensors as projected onto a line defined by the DOA are established (process action 404). As described previously, this is accomplished by projecting the known location of these sensors in terms of a radial coordinate system with the centroid of the microphone array as its origin onto the DOA line (see Eq. (4)). An

25   approximation function is then established that defines the relationship between the locations of the sensors as projected onto the DOA line and the computed energy values of the frames associated with these sensors (process action 406). In tested embodiments, a straight line function was employed as described above using Eqs. (6) and (7). Using the approximation function, an estimated

30   energy is computed for each of the frames (process action 408). Next, for each frame, an estimated gain factor is computed that compensates for the difference

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between the computed energy of a sensor and its estimated energy (process action 410). This is accomplished using Eq. (8). The computed gain estimates are then normalized (process action 412) by essentially dividing each by the average of the gain estimates (see Eqs. (10) and (11)). The normalized gain of each frame can be adaptively refined to compensate for reverberation and other error causing factors (process action 414). This is accomplished via Eq. (12) and a prescribed adaptation parameter. Once the final gain factor for each frame has been computed it is applied to the next frame input which is associated with the same sensor of the microphone array, prior to the frame being processed.

It is noted that in the foregoing procedure, while every qualifying frame of audio data could be processed, this need not be the case. For example, a prescribed number per second limitation might be imposed. Further, as described previously, if the adaptation parameter scheme is implemented, the gain value for a channel of the microphone array will eventually stabilize. As such it may not change over a succession of iterations of the calibration process. Given this, it is optionally possible to configure the present self-calibration system and process to be suspended whenever the gain value for a channel (or alternately all the channels) has not changed (i.e., has not exceeded a prescribed change threshold) for a prescribed time period or over a prescribed number of calibration iterations. Still further, the present system and process could be configured to periodically "wake up" and compute the gain value for a suspended channel to ascertain if it has changed. If so, the self-calibration process is resumed.

4.0   References

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